Relationship Between Hemoglobin Levels and Quality of Life During Radiation Therapy Plus Concomitant or Sequential Chemotherapy in Patients With Cancer and Anemia Treated With Epoetin Alfa

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Key Words
Anemia, chemoradiation, epoetin alfa, hemoglobin, incremental analysis, quality of life

ABSTRACT
This study in patients with cancer and anemia, who were receiving chemoradiation and were treated with epoetin alfa, examined the relationship between hemoglobin level and quality of life (QOL), change in hemoglobin and change in QOL, and incremental (1 g/dL) increase in hemoglobin and related incremental improvement in QOL. Data from a multicenter, open-label, prospective study of once-weekly epoetin alfa therapy in anemic cancer patients receiving chemoradiation were used to retrospectively evaluate the relationship between hemoglobin changes and QOL changes via correlation and longitudinal analyses. A sample selection correction method was used to ensure unbiased results. QOL (energy, activity, overall QOL) was measured using the Linear Analog Scale Assessment. An incremental analysis determined the greatest incremental increase in QOL associated with a 1 g/dL increase in hemoglobin level. Of the 777 patients enrolled, 464 met chemotherapy and radiotherapy eligibility criteria. Of these, 359 (77%) underwent two QOL assessments and were eligible for analysis. A nonlinear and statistically significant positive correlation was found between hemoglobin levels and Linear Analog Scale Assessment QOL scores ($r = 0.32$ [energy], $0.33$ [activity], and $0.29$ [overall QOL]; $P < .0001$). An incremental analysis used regression methods to characterize the changes in hemoglobin levels and QOL scores. Hemoglobin change was found to be a statistically significant determinant of QOL changes ($P < .05$). The greatest incremental QOL gain associated with a 1-g/dL change in hemoglobin occurred around hemoglobin 12 g/dL (range, 11–13 g/dL). A direct relationship exists between hemoglobin increases and corresponding QOL increases. Maximal incremental gain in QOL occurred when hemoglobin was approximately 12 g/dL (range, 11–13 g/dL). (JNCCN 2004;2:509–517)

Anemia is a well-recognized complication of chemotherapy and also a frequent complication of radiation therapy (RT). Approximately 40% to 60% of patients presenting for RT are already anemic (hemoglobin [Hb] <12 g/dL), and anemia may worsen or develop during treatment. Most patients with cancer and anemia, including those receiving RT, experience fatigue, which is often accompanied by functional impairment and decreased quality of life (QOL). Additionally, evidence shows that low Hb levels are associated with tumor hypoxia; increased local failures; and lower survival rates in cervical cancer, lung cancer, squamous cell head and neck cancer, and other solid tumors. Although the mechanism of tumor resistance in states of hypoxia is not fully known, anoxic
Shasha et al.

Methods and Materials

Patients and Design of Original Study

Data for these post-hoc retrospective analyses were obtained from a prospective, open-label, nonrandomized, multicenter, 16-week study that evaluated the safety, efficacy, and clinical outcomes of once-weekly epoetin alfa in the treatment of patients with cancer and anemia who were receiving RT with concomitant or sequential chemotherapy. Enrolled patients were from community-based practices or academic institutions within the United States, had histologically confirmed nonmyeloid malignancies, were 18 years of age or older, were anemic (Hb ≤ 11 g/dL), and had a life expectancy of 6 months or more. Patients with brain metastases; uncontrolled hypertension; hypersensitivity to animal products or human albumin; or anemia because of iron deficiency, B12 deficiency, folate deficiency, hemolysis, or gastrointestinal bleeding were excluded. Also excluded were candidates for bone marrow or stem cell transplantation, those receiving peripheral blood progenitor cell therapy, and patients who had received epoetin alfa therapy within 6 months of study enrollment. Patients had received a total RT dose of 4,000 cGy or more within 8 weeks before baseline or were scheduled to receive a total RT dose of 4,000 cGy or more or a course of RT lasting 4 weeks or more during the study.

Patients were treated with 40,000 units of epoetin alfa subcutaneously once per week, with the dose increased to 60,000 subcutaneously once per week at week 5 if Hb increased 1 g/dL or less after 4 weeks of therapy. If Hb rose to more than 13 g/dL at any time during the study, epoetin alfa was discontinued until Hb returned to 12 g/dL or less, at which time treatment was restarted at 75% of the original dose and titrated accordingly. The dose of epoetin alfa was decreased to 75% of the original dose if Hb increased more than 1.3 g/dL during any 2-week period. If RT or chemotherapy was discontinued during the study, the patient could continue to receive epoetin alfa; however, final Hb and QOL assessments were performed at this time. At the time of RT or chemotherapy discontinuation, final Hb evaluations were performed. Iron-deficient patients received supplemental iron as clinically indicated by iron status evaluations (eg, transferrin saturation, serum ferritin levels). The formulation of iron administered was determined by patient and physician preference.
Primary efficacy endpoints were hematologic parameters including Hb (measured at weeks 2, 4, 8, 12, and 16), number of transfusions and units transfused (measured at week 4 and every 4 weeks thereafter). QOL, a secondary endpoint, was measured using self-reported Linear Analog Scale Assessment (LASA) scores for energy (energy), ability to perform daily activities (activity), and overall well-being (overall QOL). Patient-reported functional capacity and LASA measurements were performed at baseline, week 8, and week 16 (or at early study discontinuation). At the time of RT or chemotherapy discontinuation, final LASA evaluations were performed.

Statistical Analyses
The population used in this analysis comprised all patients who had undergone at least two QOL assessments and received chemotherapy either concomitantly or sequentially with RT of at least 4,000 cGy or RT for a duration of at least 4 weeks during the study, regardless of change in Hb or QOL. Longitudinal data providing information on a given patient at the beginning and end of the study were used to determine changes in Hb and QOL. Cross-sectional correlation analyses were used to evaluate the strength of the relationship between Hb and LASA scores. Longitudinal patient-specific analyses were used to examine the relationship between changes in Hb levels and change in QOL scores. Patients’ baseline and final QOL scores were paired with their closest Hb levels and other clinical measures. An incremental analysis was performed to examine the relationship between successive (incremental) 1-g/dL Hb level increases and the corresponding change in QOL for a given patient. By examining the shape of the curve showing the relationship between Hb and QOL during epoetin alfa therapy, the target Hb range where the greatest gain in QOL might be obtained in response to a unit Hb change was determined.

A two-stage Heckman analysis,\textsuperscript{41} commonly used to address problems of missing data and sample selection bias, was performed to correct for potential bias resulting from patients who were clinically evaluated at least twice but failed or declined to complete a second QOL evaluation. This procedure identifies systematic characteristics of patients whose second QOL measurement is missing and includes a variable that embodies these characteristics into the analysis of patients with complete data, thereby reducing the likelihood of bias resulting from nonrandomly missing data. Control variables in the Heckman analysis included age, gender, ethnicity, tumor type, transfusion status, number of units transfused, presence of RT, presence of chemotherapy and regimen, and baseline QOL. Control variables also included whether Hb changed from baseline to final measurement. Despite its wide use and proven effectiveness at minimizing sample selection bias, the Heckman procedure has limitations, including the assumption that the variable embodying the characteristics of missing data has a linear additive effect on the outcome variable and the effectiveness of the instruments at characterizing the missing pattern in the first stage of the procedure. As a result, Heckman diagnostics and comparative statistics on the two groups (one and two or more QOL assessments) are provided.

All statistical analyses were performed using the SAS software (Cary, NC) or an equivalent software package. For graphic presentation of all statistical analyses, an Hb level of 8 g/dL refers to levels from 7.5 to 8.49 g/dL; 9 g/dL refers to levels from 8.5 to 9.49 g/dL; and so forth.

Results
Patient Characteristics and Sample Selection Correction
Of the initial 777 patients enrolled, 464 met the criteria of chemotherapy and RT treatment (RT 4,000 cGy or more or RT duration of at least 4 weeks). Of these, 442 (95%) underwent at least two Hb assessments and were evaluable for hematologic response, and 359 (77%) were evaluable for QOL assessments (i.e., completed two or more QOL assessments). Twenty-six patients were excluded because they did not meet eligibility requirements, five were removed because of unclear RT data, 282 did not meet the RT dose requirement or were scheduled for RT but did not receive it, and 22 did not receive chemotherapy. Eighty-three patients were not evaluable for QOL response: 82 patients did not have two QOL assessments and one patient was missing a baseline QOL assessment. An additional 151 patients withdrew before study completion.

Baseline demographic data are presented in Table 1 for the 442 patients with at least two Hb assessments and the subset of patients with one and with two or more QOL assessments. Population characteristics
stratified by number of QOL assessments showed statistically significant (P < .05) differences in gender distribution, baseline Hb level, and LASA energy score, as well as Eastern Cooperative Oncology Group (ECOG) performance status. Diagnostics on the Heckman sample selection correction indicated that the approach effectively predicted the probability of having two QOL assessments (χ² = 35.8; 36.3; and 36.3 for LASA energy, activity, and overall QOL, respectively; P < .01) and that the correction variable included in the second stage (the hazard of having at least two QOL readings) was jointly significant in all three analyses (P < .01). These results indicate that the sample population of patients with at least two QOL assessments (n = 359) showed differences compared with the population of patients with fewer than two QOL assessments (n = 105), but that the Heckman sample selection correction alleviated any bias that could have resulted from these differences.

Overall, the baseline Hb level of evaluable patients was 9.9 ± 0.8 g/dL, and 10.2% of patients had received transfusions within 6 months of epoetin alfa initiation. Most patients (97.6%) had non-hematologic malignancies, with the lungs being the most common disease site (41.6% of patients). A variety of chemotherapeutic agents were administered concurrently or sequentially with epoetin alfa, with carboplatin and paclitaxel the most common. The mean total RT dose received (before and during epoetin alfa therapy) was 5,502 cGy. Mean baseline LASA scores (± standard deviation [SD]) for the 359 evaluable patients for energy, activity, and overall QOL were all below 50 mm (42.5 ± 22.1 mm, 42.8 ± 25.1 mm, and 48.1 ± 25.2 mm, respectively), suggesting significant patient-perceived functional impairment. In a healthy population (without cancer or serious comorbidities), expected mean LASA scores are 85 mm or higher for individuals 21 to 50.

Table 1 Baseline Demographics and Clinical Characteristics of Evaluable Patients

<table>
<thead>
<tr>
<th>Characteristic</th>
<th>Full Sample (N = 464)</th>
<th>≥ 2 QOL Assessments (n = 359)</th>
<th>&lt; 2 QOL Assessments (n = 105)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Sex (%)</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Male</td>
<td>42.8</td>
<td>40.1</td>
<td>45.8</td>
</tr>
<tr>
<td>Female</td>
<td>57.2</td>
<td>59.9</td>
<td>54.2</td>
</tr>
<tr>
<td><strong>Mean age (years)</strong></td>
<td>61.7</td>
<td>61.2 ± 12.6</td>
<td>63.8 ± 12.2</td>
</tr>
<tr>
<td><strong>Mean (± SD) Hb (g/dL)</strong> (n = 442)</td>
<td>9.9 ± 0.8</td>
<td>10.0 ± 0.8</td>
<td>9.7 ± 0.9</td>
</tr>
<tr>
<td><strong>Mean (± SD) LASA (mm)</strong> (n = 359)</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>Energy level</td>
<td>42.5 ± 22.1</td>
<td>42.5 ± 22.1</td>
<td>36.2 ± 21.8</td>
</tr>
<tr>
<td>Activity level</td>
<td>42.8 ± 25.1</td>
<td>42.8 ± 25.1</td>
<td>37.6 ± 27.0</td>
</tr>
<tr>
<td>Overall QOL level</td>
<td>48.1 ± 25.2</td>
<td>48.1 ± 25.2</td>
<td>43.2 ± 26.9</td>
</tr>
<tr>
<td><strong>ECOG performance status (n = 441)</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>0</td>
<td>25%</td>
<td>26%</td>
<td>17%</td>
</tr>
<tr>
<td>1</td>
<td>49%</td>
<td>49%</td>
<td>49%</td>
</tr>
<tr>
<td>2</td>
<td>20%</td>
<td>20%</td>
<td>20%</td>
</tr>
<tr>
<td>3</td>
<td>6%</td>
<td>15%</td>
<td>4%</td>
</tr>
<tr>
<td>4</td>
<td>&lt;1%</td>
<td>&lt;1%</td>
<td>&lt;1%</td>
</tr>
<tr>
<td><strong>Transfusion rate</strong></td>
<td>10.2%</td>
<td>9.5%</td>
<td>13.2%</td>
</tr>
</tbody>
</table>

Abbreviations: Hb, hemoglobin; LASA, Linear Analog Scale Assessment; QOL, quality of life; ECOG, Eastern Cooperative Oncology Group.

*Indicates a statistically significant (P < .05) difference between patients with 1 vs. ≥2 QOL assessments.
*Expressed on a 100-mm scale, with 0 representing lowest self-perception of each parameter and 100 representing highest self-perception.
*Baseline transfusion rate represents the proportion of patients requiring transfusions within the 6-month period prior to epoetin alfa initiation.
years of age and 70 to 80 mm for those 51 years or older.42

Relationship Between Absolute Hb Levels and QOL
The mean increase in Hb level from baseline to final measurement was 1.9 ± 1.8 g/dL ($P < .05$). Based on data obtained at baseline, week 8, and week 16 or early withdrawal, direct correlations between Hb levels and LASA scores for energy, activity, and overall QOL were statistically significant ($r = 0.32, 0.33, \text{ and } 0.29$, respectively; $P < .0001$ for each; Fig. 1). The relationship between Hb and QOL was nonlinear and is perhaps one possible explanation for the modest correlation coefficients, which measure the degree of linear correlation between two variables. Alternatively, other factors besides Hb may have effects on QOL, which suggests the need for a multivariate regression analysis presented in the following section.

Relationship Between Changes in Hb Levels and Changes in QOL Scores
A positive relationship between patients’ Hb levels and QOL scores implies that patients with higher Hb levels will also experience improved QOL. Determining this relationship, however, requires that the relationship between Hb and QOL be observed for each individual patient across time rather than across individual patients at each point in time. A change on the 100-mm LASA scale of approximately 10 mm has been shown to represent a minimally important difference (MID) for changes in health-related QOL scores in patients with anemia and cancer, and signifies the smallest difference in LASA overall QOL score that has a clinically meaningful effect on patients’ self-perceived QOL.43

A regression analysis of the relationship between changes in Hb levels and changes in LASA scores for energy, activity, and overall QOL, together with a Heckman procedure correcting for the potential self-selection bias arising from the nonrandom dropout of patients, showed that increases in Hb yielded longitudinal improvements in LASA scores. Figure 2 displays this relationship between Hb and LASA overall QOL. A similar relationship was apparent for LASA energy levels and activity levels. Four covariates were used to adjust for their possible

Figure 1 Hemoglobin (Hb) levels and associated Linear Analog Scale Assessment (LASA) scores for activity, energy, and overall quality of life (QOL). Data collected at baseline, week 8, and week 16 or early withdrawal were included in the analyses. The last Hb category is omitted in the figure because there were too few observations (<20). Hb levels represented on the x-axis represent the midpoint of the range (i.e., 8 g/dL refers to levels between 7.49 and 8.5 g/dL; 9 g/dL refers to levels between 8.5 and 9.49 g/dL; and so forth).

Figure 2 Longitudinal analysis of the relationship between changes in hemoglobin (Hb) levels and changes in Linear Analog Scale Assessment (LASA) scores for overall quality of life (QOL) during epoetin alfa therapy. Hb levels recorded on the x-axis represent the midpoint of the Hb range (i.e., 8 g/dL refers to levels between 7.49 and 8.5 g/dL; 9 g/dL refers to levels between 8.5 and 9.49 g/dL; and so forth). Data collected at baseline, week 8, and week 16 were included in the analyses. The last Hb category (>14.5) is omitted from the figure because there were too few observations (<20). Hb change variables were jointly significant in predicting QOL changes for all three QOL measures ($P < .05$).
confounding effects on the relationship between change in Hb and change in LASA score: change in transfusion status, change in number of units transfused, change in chemotherapy, and change in radiotherapy. At an Hb level of 14 g/dL, the estimated scores for activity, energy, and overall QOL were, respectively, 17.0, 16.3, and 17.7 mm higher than at an Hb level between 7.5 g/dL and 8.49 g/dL (Hb coefficients were jointly significant at $P < .001$ for all three categories). In addition, the mean increase in LASA overall QOL score when Hb increased from 10 g/dL to 12 g/dL was 13.5 mm.

**Relationship Between Incremental Increases in Hb Levels and QOL Scores**

The changing slopes in the sigmoid-shape curve imply that incremental increases in Hb have different effects on patients' QOL response, depending on Hb level. Figure 3 illustrates the effect of an incremental (1 g/dL) increase in Hb level on LASA overall QOL scores based on the average 2-g/dL increase seen among evaluable patients in the trial. The greatest incremental gain in QOL, as measured by change in LASA score per unit change in Hb level, occurred when reaching an Hb of 12 g/dL. Beyond an Hb of 12 g/dL, additional gains in QOL continued to be seen, but were of a reduced magnitude. Because this finding is based on a longitudinal analysis of the data, it reflects the QOL response to an Hb level change in a given patient rather than differences in QOL reported by different patients.

**Discussion**

In the management of patients with cancer, QOL influences patients' attitudes towards treatment, ability to cope, and overall well-being. Consequently, greater emphasis is being placed on the patient's perception of QOL for decisions related to therapeutic options. Patients who receive chemoradiation are likely to experience new onset or exacerbated anemia as a consequence of therapy. In addition to contributing to fatigue and worsened QOL, anemia is also associated with decreased local tumor response rates and overall survival during RT. Thus, more aggressive treatment of anemia in the radiation oncology setting offers the potential to improve QOL and possibly treatment outcomes.44 We recently reported the results of a prospective, multicenter, open-label study of once-weekly epoetin alfa in anemic patients receiving chemoradiation.40 As in patients with cancer and anemia receiving chemotherapy,26–29 epoetin alfa therapy increased Hb levels, decreased transfusion requirements, and increased QOL scores.

Recent analyses reported by Crawford et al.30 based on data from studies of epoetin alfa for the treatment of anemia in patients receiving chemotherapy provided new insights into the relationship between anemia and QOL. Because these types of QOL data are difficult to find and important to replicate to support their validity and reproducibility, we sought to perform similar analyses, but expanded to a population of anemic patients receiving chemoradiation. As with the analyses in patients with cancer and anemia receiving chemotherapy, the cross-sectional correlation analyses in our study also showed statistically significant and direct positive correlations between Hb levels and QOL scores, as measured by LASA in both settings.
A longitudinal approach using patients as their own controls indicated that patients experienced improvements in QOL in response to increasing Hb levels after adjusting for confounding factors that affect QOL outcomes over time, such as baseline QOL, transfusion requirements, and type of chemotherapy or RT received. As in the incremental analysis by Crawford et al.\(^3\) in anemic patients with cancer receiving chemotherapy and epoetin alfa, all three LASA QOL parameters improved with increasing Hb levels until an Hb level of 14 g/dL was obtained, with the greatest QOL gains made with successive increases in Hb up to a level of 12 g/dL. At Hb levels thereafter (12 g/dL to 14 g/dL), gains in QOL continued to be seen, although they were of a lesser magnitude. Also, as with the analyses in patients with cancer and anemia receiving chemotherapy,\(^10\) our incremental analysis showed that increasing Hb levels during epoetin alfa therapy were associated with corresponding increases in QOL scores. In our study of epoetin alfa in patients with cancer and anemia receiving RT concomitantly or sequentially with chemotherapy, the maximum QOL change for an incremental increase in Hb level occurred at and around 12 g/dL, as it did in the incremental analysis in patients with cancer and anemia receiving chemotherapy and epoetin alfa.\(^3\)

The statistical analysis conducted on patients with two QOL measurements paired with the sample bias correction used to address the nonrandom dropout of sicker patients yielded results applicable to all patients receiving concomitant or sequential chemotherapy and radiotherapy; however, it excludes patients receiving chemotherapy or radiotherapy alone. As mentioned previously, similar results have been reported in other research, which includes patients receiving chemotherapy only.\(^3\)

The findings of our analyses are clinically relevant and complement the growing body of evidence showing a direct relationship between incremental Hb level increases and corresponding QOL improvements in patients with cancer and anemia receiving chemotherapy and/or RT. Collectively, results from the analyses reported here and those of Crawford et al.\(^3\) indicate that a change in the therapeutic management of cancer treatment-related anemia should be considered.

Traditionally, chemotherapy-related and RT-related anemia has been treated with epoetin alfa or transfusions when Hb levels fall to 10 g/dL or below, and current evidence-based clinical practice guidelines for cancer patients with anemia issued by the American Society of Hematology (ASH) and the American Society of Clinical Oncology (ASCO) currently recommend epoetin alfa as a treatment option when Hb levels drop to 10 g/dL or less. However, our results show that increasing Hb from 10 g/dL to 12 g/dL produces a clinically meaningful\(^4\) improvement in QOL (as shown by a mean increase in LASA overall QOL score of 13.5 mm), indicating that Hb levels less than 12 g/dL are associated with impaired functional ability. Given that up to 72% of patients with cancer have Hb less than 12 g/dL,\(^4\) these findings support early intervention with epoetin alfa in anemic patients to maximize QOL and to prevent more severe anemia from developing. Early anemia intervention with epoetin alfa may be especially important for patients receiving RT, because studies have suggested that Hb levels greater than 11 g/dL may be associated with better tumor response rates and overall survival during RT.\(^10,11,16,20\) However, in light of recent studies showing impaired survival relative to placebo in nonanemic patients with metastatic breast cancer receiving epoetin alfa and chemotherapy or patients with head and neck cancer receiving epoetin beta and radiotherapy, the goal of epoetin alfa treatment should be to achieve a target Hb of 12 g/dL. This recommendation to maintain an optimal Hb level of 12 g/dL with erythropoietic therapy is also supported by the National Comprehensive Cancer Network (NCCN) guidelines for cancer- and treatment-related anemia.\(^4\)

Our analyses provide additional evidence for a relationship between Hb level increases and corresponding improvements in QOL in patients with cancer and anemia receiving RT plus concomitant or sequential chemotherapy. Significant QOL improvements were seen with successive Hb level increases across the clinically relevant Hb range of 7.5 g/dL to 14.5 g/dL. Importantly, in both our incremental study and the study of Crawford et al.,\(^3\) the greatest incremental gain in QOL occurred when Hb reached 12 g/dL. Consequently, to improve patient well-being and optimize treatment outcomes in patients with cancer and anemia receiving chemotherapy with or without RT, clinicians should consider treating patients with even mild anemia (i.e., patients with Hb levels of 10 g/dL to 12 g/dL), with consideration of 12 g/dL as the optimal target for hemoglobin maintenance.\(^4\)
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Original Article


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