

Short- and Long-Term Survival in Metastatic Pancreatic Adenocarcinoma, 1993–2013

Talia Golan, MD^{a,b}; Tal Sella, MD^{a,b,c}; Ofer Margalit, MD, PhD^{a,b}; Uri Amit, MD, PhD^{a,b}; Naama Halpern, MD^a; Dan Aderka, MD^{a,b}; Einat Shacham-Shmueli, MD^{a,b}; Damien Urban, MD^{a,b}; and Yaacov Richard Lawrence, MBBS, MRCP(UK)^{a,b}

Abstract

Background: During the past 2 decades, numerous clinical trials have focused on improving outcomes in patients with metastatic pancreatic cancer (mPDAC). The efficacy of new treatments has been demonstrated among highly selected patients in randomized phase III trials; hence, it is not clear to what extent these advances are reflected within the broader mPDAC population. **Materials and Methods:** Survival statistics were extracted from the SEER database for patients diagnosed with mPDAC between 1993 and 2013. Survival was analyzed using the Kaplan-Meier method and proportional hazard models. **Results:** The study population consisted of 57,263 patients diagnosed with mPDAC between 1993 and 2013; 52% were male, with a median age of 69 years (range, 15–104). Superior prognosis correlated with younger age, being married, tumor located within the head of the pancreas, lower grade disease, and more recent year of diagnosis. Median overall survival (OS) remained stable at 2 months between 1993 and 2013. Improvements in OS were seen for younger patients (age <50 years) and those with a more recent year of diagnosis (2009–2013). The percentage of patients who died within 2 months of initial diagnosis decreased between 1993 and 2013 (from 63.5% to 50.6%; $P < .0001$). The percentage of patients surviving ≥ 12 months improved from 4.9% in 1993 to 12.7% in 2013 ($P < .0001$). **Conclusions:** In recent years a modest improvement in OS has been seen among younger patients with mPDAC. The percentage of patients living beyond 1 year has significantly increased over time; however, the percentage of those dying within 2 months remains substantial.

J Natl Compr Canc Netw 2017;15(8):1022–1027
doi:10.6004/jnccn.2017.0138

Background

In recent years, substantial advances in the diagnosis and treatment of cancer have increased survival rates for most cancers. Despite this progress, death rates for pancreatic cancer (PDAC), the fourth-leading cause of cancer death among men and women, are continuing to increase, and the current 5-year relative survival rate is only 8%.¹ These low survival rates are partly explained by the fact that >50% of cases are diagnosed at a late stage, for which the 5-year survival rate is a dismal 2%.

Through the 1990s, 5-fluorouracil (5FU) was the principal treatment option for metastatic PDAC

(mPDAC), achieving a median overall survival (OS) of 6 months.² In 1997, Burris et al³ demonstrated the superiority of gemcitabine over 5FU in achieving clinical benefit, improving median OS, and increasing the survival rate at 12 months from 2% to 18%. After this landmark study, numerous studies of doublet chemotherapy and biologic therapies were tested, yet failed to demonstrate a significant benefit in OS over gemcitabine monotherapy, until recently. In 2011 and 2013, progress was made with the publication of the PRODIGE 4/ACCORD 11⁴ and MPACT⁵ studies, showing survival benefits for FOLFIRINOX and gemcitabine/nab-paclitaxel, respectively,

From the ^aDepartment of Oncology, Sheba Medical Center, Tel HaShomer; ^bSackler Faculty of Medicine, Tel Aviv University, Tel Aviv; and ^cDr. Pinchas Borenstein Talpiot Medical Leadership Program, Sheba Medical Center, Tel HaShomer, Israel.

Submitted December 21, 2016; accepted for publication April 17, 2017.

The authors have disclosed that they have no financial interests, arrangements, affiliations, or commercial interests with the manufacturers of any products discussed in this article or their competitors.

Author contributions: *Conceptualization, methodology, software, formal analysis, investigation, resources, data curation, manuscript preparation, visualization:* Golan (lead), Lawrence (lead), Sella, Urban. *Validation, supervision, project administration:* Golan, Lawrence. *Manuscript review and editing:* Golan (lead), Lawrence (lead), Sella, Urban, Margalit, Amit, Halpern, Aderka, Shacham-Shmueli.

Correspondence: Talia Golan, MD, Oncology Institute, Sheba Medical Center, Tel HaShomer 52621 Israel. E-mail: talia.golan@sheba.health.gov.il

Early Mortality in Metastatic PDAC

compared with gemcitabine monotherapy. Importantly, FOLFIRINOX achieved an unprecedented 11.1 months OS with a 48.4% 1-year survival rate, while notably excluding patients aged >75 years or with an ECOG performance status (PS) >1⁴; the mean age at mPDAC diagnosis in the United States is 68 years.⁶ In one recent study, 34% of patients with mPDAC had an ECOG PS of 2 to 4 at presentation.⁷ Thus, the impact of these advances on the general population of patients with mPDAC, as opposed to a clinical trial population, may still be limited. Furthermore, additional medical advances have been introduced within the past 2 decades, including active supportive care interventions, multidisciplinary tumor boards, and more accurate imaging modalities.

The SEER database has served as an important resource for the study of trends in PDAC mortality. Although most studies have described general population trends,^{8,9} analyses of relative survival have generally focused on long-term survivors by limiting for age¹⁰ or excluding short-term survivors.⁶ The present study aims to investigate OS in mPDAC over 2 de-

acades, focusing on patients at both extremes of the survival spectrum.

Materials and Methods

This study used data from the 18 registries comprising the SEER database¹¹ (Atlanta, Connecticut, Detroit, Hawaii, Iowa, New Mexico, San Francisco-Oakland, Seattle-Puget Sound, Utah, Alaska Native Tumor Registry, San Jose-Monterey, Los Angeles, rural Georgia, greater California, Kentucky, Louisiana, New Jersey, and greater Georgia). Of note, 5 of these registries were added in 2000 (greater California, Kentucky, Louisiana, greater Georgia, and New Jersey). Inclusion criteria was mPDAC diagnosed between 1993 and 2013. Histology codes included 8000 (malignant neoplasm), 8010 (carcinoma not otherwise specified [NOS]), 8140 (adenocarcinoma NOS), 8480 (mucinous carcinoma/adenocarcinoma), 8481 (mucin-producing carcinoma/adenocarcinoma), and 8500 (ductal adenocarcinoma/carcinoma). Primary PDAC was identified according

Table 1. Baseline Demographic Characteristics

	Entire Population		1993–1997		1998–2002		2003–2008		2009–2013		P Value ^a
	N	%	n	%	n	%	n	%	n	%	
Total	57,263	–	5,888	10.28	11,316	19.76	20,226	35.3	19,833	34.63	–
Median age (SD), y	69	(12.28)	70	(12.16)	70	(12.41)	69	(12.4)	68	(12.08)	NS
Male	29,523	0.52	2,986	0.51	5,769	0.51	10,403	0.5	10,365	0.52	NS
Race											<.0005
White	45,411	79.30	4,655	79.06	9,080	80.24	16,111	79.7	15,565	78.48	
Black	7,448	13.01	771	13.09	1,456	12.87	2,602	12.9	2,619	13.21	
Asian/Pacific Islander	3,926	6.86	427	7.25	702	6.20	1,337	6.6	1,460	7.36	
Other	478	0.83	35	0.59	78	0.69	176	0.9	189	0.95	
Married	30,615	0.55	3,228	0.56	6,139	0.56	10,945	0.6	10,303	0.54	<.005
Tumor location											<.001
Head	20,725	36.19	2,259	38.37	4,182	36.96	7,423	36.7	6,861	34.59	
Body	7,215	12.60	525	8.92	1,102	9.74	2,560	12.7	3,028	15.27	
Tail	9,986	17.44	833	14.15	1,736	15.34	3,456	17.1	3,961	19.97	
Other/Unknown	19,337	33.77	2,271	38.57	4,296	37.96	6,787	33.6	5,983	30.17	
Grade ^b											<.001
Well differentiated	1,219	8.63	244	9.38	277	8.33	420	9.4	278	7.43	
Moderately differentiated	4,873	34.49	815	31.35	1,112	33.45	1,544	34.6	1,402	37.49	
Poorly differentiated	7,659	54.21	1,460	56.15	1,837	55.26	2,380	53.3	1,982	52.99	
Undifferentiated	378	2.68	81	3.12	98	2.95	121	2.7	78	2.09	

Abbreviation: NS, not significant.

^aP values correspond to the distribution of covariants across the different time periods.

^bThose with unknown grade were excluded from the table.

Golan et al

Table 2. Univariate Analysis of HR for Death

Year of Diagnosis	HR	P Value	95% CI	
1993	Ref			
1994	1.00	.99	0.92	1.08
1995	1.03	.54	0.95	1.11
1996	0.95	.23	0.88	1.03
1997	0.94	.14	0.87	1.02
1998	0.90	.012	0.83	0.98
1999	0.90	.013	0.83	0.98
2000	0.89	.001	0.83	0.95
2001	0.88	<.001	0.82	0.94
2002	0.85	<.001	0.79	0.91
2003	0.87	<.001	0.82	0.94
2004	0.83	<.001	0.78	0.89
2005	0.81	<.001	0.76	0.87
2006	0.82	<.001	0.77	0.88
2007	0.81	<.001	0.76	0.86
2008	0.77	<.001	0.72	0.82
2009	0.77	<.001	0.72	0.82
2010	0.79	<.001	0.74	0.85
2011	0.74	<.001	0.70	0.79
2012	0.73	<.001	0.68	0.78
2013	0.71	<.001	0.66	0.76

Abbreviation: HR, hazard ratio.

to ICD-0-3 codes C25.0 (head of pancreas), C25.1 (body of pancreas), C25.2 (tail of pancreas), C25.3 (pancreatic duct), C25.7 (other specified parts of pancreas), C25.8 (overlapping lesions of pancreas), and C25.9 (pancreas NOS). Exclusion criteria were stage I–III disease, unknown or rare histologies, neuroendocrine tumors, and tumors located in the islet of Langerhans. Additionally, those diagnosed at autopsy were excluded. Data extracted included patient demographics, tumor grade, primary site, year of diagnosis, and survival until death or follow-up as of December 31, 2013.

For the purposes of descriptive analysis (but not survival analysis), continuous variables (eg, age and year at diagnosis) were converted into categorical variables. Statistical analyses were performed using the Stata statistical package, version IC 11.1 (Stata, College Station, TX). Chi-square tests were used to assess associations between categorical variables. OS was defined from the time of initial diagnosis to the date of death, and was calculated using the Kaplan-Meier method. In the SEER database, survival is reported as complete months and rounded down to the nearest month; therefore, patients with survival

<30.4 days are listed as having survival of 0 months. To ensure that these patients were included in the analysis, those coded in the SEER data set as having a survival time of 0 were reassigned a survival time of half a month.¹² The effects of demographic, pathologic tumor grade, primary tumor site, and age variables on survival were tested with a Cox univariate analysis. Multivariate analysis was performed with a Cox proportional hazard model. All *P* values were 2-sided, and *P*<.05 was considered statistically significant.

Results

A total of 207,597 patients with PDAC were identified through the database, updated through December 31, 2013. Subjects were excluded if they were diagnosed before 1993 (*n*=44,204), had multiple malignancies (*n*=27,061), were diagnosed at autopsy (*n*=4,483), had stage I–III disease (*n*=52,236), had unknown stage (*n*=17,648), or possessed rare histologies or neuroendocrine tumors (*n*=4,702), leaving 57,263 patients who presented with mPDAC between 1993 and 2013.

The proportion of patients that were diagnosed with mPDAC during this period has remained stable (54.9% in 1993 vs 53.7% in 2013).

Demographic characteristics are presented in Table 1. Median age at diagnosis for the study population was 69 years, 52% were men, and 79.3% were Caucasian. The median age at diagnosis between years 1993 to 2013 decreased from 70 to 68 years. The percentage of patients in different age groups has varied over the course of the 20 years without any consistent trend; for instance, the percentage of patients diagnosed aged <50 years has decreased from 8.4% to 5.1%, whereas the percentage of patients aged >84 years has increased from 5.25% to 8.4%. Of those with a documented grade, 43.1% were well to moderately differentiated and 56.9% were poorly or undifferentiated. Median OS of the entire metastatic population was 2 months and has not changed in the 2 decades studied. Nonetheless, the hazard ratio (HR) for risk of death has shown a consistent and statistically significant improvement from 1993 to 2013 (HR for death was 0.71 in 2013 vs 1993; 95% CI, 0.66–0.76; *P*<.001; Table 2). It appears that the prognosis has improved principally among younger patients, especially those aged <50 years, but not among older patients (age ≥85 years) (Figure 1);

Early Mortality in Metastatic PDAC

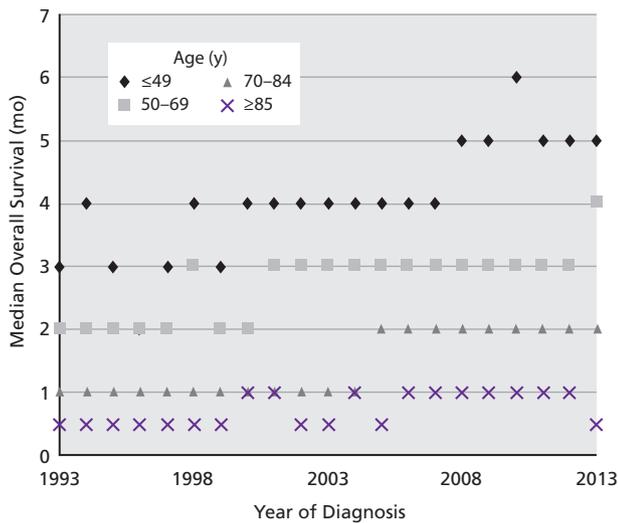


Figure 1. Median overall survival, as a function of year of diagnosis, stratified by age group.

however, the percentage of younger patients has decreased (supplemental eTable 1, available with this article at JNCCN.org). The proportion of patients with survival of <1 month has fluctuated between 16.8% and 24.1% over time, with no specific trend (supplemental eTable 2).

On univariate analysis, multiple factors were associated with OS (Table 3). On multivariate regression analysis incorporating age, marital status, tumor location, tumor grade, and year of diagnosis, all variables remained significantly associated with OS (Table 3). The SEER database expanded in 2000; however, OS trends are similar in both the original and newly added registries despite slight differences in the ethnic racial composition (supplemental eTables 3 and 4). A sensitivity analysis was performed by reassigning those with missing stage data (MX) as stage IV disease (supplemental eTable 5). Again, no difference in OS was observed over the course of study period (supplemental eTable 6). In approximately one-third of patients, the sublocation of the tumor was unknown; therefore, an additional sensitivity analysis was performed by excluding these patients, which demonstrated a modest improvement in median survival, from 2 to 3 months, during the study period (supplemental eTable 7).

Short- Versus Long-Term Survivors

We defined short-term survivors as patients who die within 2 months of initial diagnosis and long-term

Variable	HR	P Value	95% CI	
Univariate Analysis				
Age	1.019	<.001	1.018	1.020
Married	0.800	<.001	0.786	0.814
Race	0.990	NS	0.977	1.004
Sex	0.998	NS	0.981	1.015
Tumor location				
Head	1.000			
Body	1.015	NS	0.988	1.044
Tail	1.123	<.001	1.096	1.150
Other/Unknown	1.169	<.001	1.146	1.192
Grade	1.022	<.001	1.019	1.025
Year of diagnosis	0.984	<.001	0.982	0.985
Multivariate Analysis				
Age	1.014	<.001	1.013	1.016
Married	0.830	<.001	0.802	0.860
Tumor location				
Head	1.000			
Body	1.030	NS	0.973	1.090
Tail	1.122	<.001	1.068	1.179
Other/Unknown	1.177	<.001	1.130	1.226
Grade	1.256	<.001	1.225	1.287
Year of diagnosis	0.978	<.001	0.975	0.981

Abbreviations: HR, hazard ratio; NS, not significant.

survivors as those who live at least 12 months. The percentage of patients identified as short-term survivors decreased from 63.5% in 1993 to 50.6% in 2013 ($P<.0001$). The subgroup of patients achieving long-term survival increased from 4.9% in 1991 to 12.7% in 2012 ($P<.0001$; Figure 2). Long-term survivors were more likely to be younger, white or Asian/Pacific Islander, and married, and to have a lower grade tumor located in the head of pancreas (Figure 1; Table 4).

Discussion

Using a population-based database, this study demonstrates that median OS has not improved among patients with newly diagnosed mPDAC during the past 20 years. A previous SEER analysis⁶ showed a modest increase in median OS over time. The discrepancy in the results may be due to the different analytic methods used. The substantial number of patients coded in the SEER data set as having a survival time of 0 (ie, <1 month) are often excluded

Golan et al

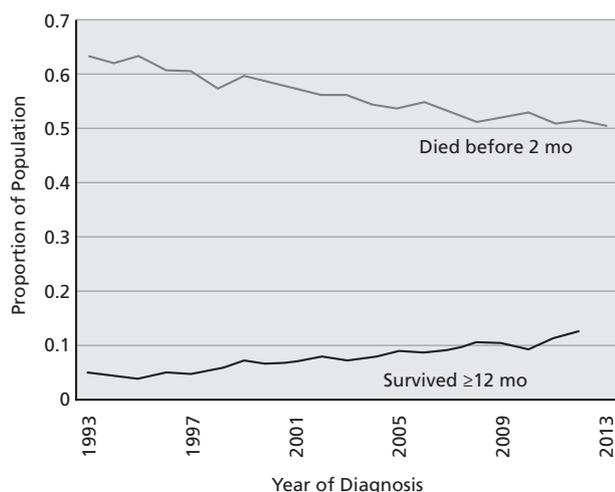


Figure 2. Proportion of patients with metastatic pancreatic cancer, as a function of time, who lived <2 months or ≥12 months.

from survival analyses, whereas our analysis included them, reassigning them a survival time of half a month.¹² Importantly, our reported results are comparable to 2016 US cancer statistics.¹

Approximately half of the patients diagnosed with mPDAC lived <2 months. The SEER database provides no information on the use of systemic

and palliative therapies, and therefore it is unclear whether an oncologist or cancer-specific supportive care team attended to these patients. It would appear that this large subset of patients is underrepresented in clinical trials, likely because they do not meet stringent inclusion criteria, including adequate organ functioning and good PS. This was demonstrated in a meta-analysis of phase III clinical trials showing that only approximately 20% of patients died within 3 months.¹³ The poor representation of these short-term survivors in clinical trials artificially improves the reported median OS.

Conversely, our results show that the fraction of patients who achieve long-term survival in mPDAC has increased during the past 2 decades. Incremental improvement has been seen in all age groups, but is most significant among younger patients (<50 years of age). Furthermore, between 2009 and 2013 there appears to have been a marked increase in the percentage of long-term survivors, possibly reflecting the introduction of combination chemotherapy.

The strengths of our study include the large size of the database, with its broad coverage of age ranges

Table 4. Demographics of Short- Versus Long-Term Survivors

	All		OS ≤2 mo		OS ≥12 mo		P Value ^a
	N	%	n	%	n	%	
Total	57,263		31,138	54.38	4,557	7.96	
Median age (SD), y	69	(12.28)	72	(12.02)	63	(11.56)	<.001
Male	57,263	52	31,138	52	4,557	51	NS
Ethnicity							<.001
White	45,411	79.30	24,589	78.97	3,672	80.58	
Black	7,448	13.01	4,318	13.87	479	10.51	
Asian/Pacific Islander	3,926	6.86	1,984	6.37	357	7.83	
Other	478	0.83	247	0.79	49	1.08	
Married	30,615	55.45	14,950	49.91	2,930	66.47	<.001
Tumor location							<.001
Head	20,725	36.19	10,347	33.23	1,887	41.41	
Body	7,215	12.60	3,649	11.72	622	13.65	
Tail	9,986	17.44	5,784	18.58	735	16.13	
Other/Unknown	19,337	33.77	11,358	36.48	1,313	28.81	
Grade							<.001
Well differentiated	1,219	8.63	416	6.07	229	16.86	
Moderately differentiated	4,873	34.49	2,019	29.48	597	43.96	
Poorly differentiated	7,659	54.21	4,208	61.45	500	36.82	
Undifferentiated	378	2.68	205	2.99	32	2.36	

Abbreviations: NS, not significant; OS, overall survival.

^aP value for comparison of short-term survivors (OS ≤2 mo) versus long-term survivors (OS ≥12 mo).

Early Mortality in Metastatic PDAC

and ECOG PS, unlike prospective clinical trials or retrospective studies based on single-institution experience. Furthermore, this is a well-validated and reliable data set with long-term follow-up.^{14,15} However, due to the very large size of the database, statistically significant associations may not be clinically relevant. We focused our analysis on clinically relevant changes.

The shortcomings of our study include its retrospective nature and our inability to assess causative associations in the trends identified, specifically the lack of data regarding systemic and palliative interventions that may impact survival outcomes. Therefore, we cannot determine the causative factors contributing

to the improvement in long-term OS, seen in some of the subpopulations. Additional databases need to be interrogated to address these critical issues.

Conclusions

Among patients with mPDAC, no improvement in median OS has been observed during the past 2 decades. Disturbingly, even in 2013, most patients died within 2 months of diagnosis. Clinical studies, specifically incorporating tissue acquisition, are urgently needed to further investigate this substantial patient population that has yet to benefit from advances in modern medicine.

References

1. Siegel RL, Miller KD, Jemal A. Cancer statistics, 2016. *CA Cancer J Clin* 2016;66:7–30.
2. Hansen R, Quebbeman E, Ritch P, et al. Continuous 5-fluorouracil (5FU) infusion in carcinoma of the pancreas: a phase II study. *Am J Med* 1988;295:91–93.
3. Burris HA III, Moore MJ, Andersen J, et al. Improvements in survival and clinical benefit with gemcitabine as first-line therapy for patients with advanced pancreas cancer: a randomized trial. *J Clin Oncol* 1997;15:2403–2413.
4. Conroy T, Desseigne F, Ychou M, et al. FOLFIRINOX versus gemcitabine for metastatic pancreatic cancer. *N Engl J Med* 2011;364:1817–1825.
5. Von Hoff DD, Ervin T, Arena FP, et al. Increased survival in pancreatic cancer with nab-paclitaxel plus gemcitabine. *N Engl J Med* 2013;369:1691–1703.
6. Worni M, Guller U, White RR, et al. Modest improvement in overall survival for patients with metastatic pancreatic cancer: a trend analysis using the Surveillance, Epidemiology, and End Results registry from 1988 to 2008. *Pancreas* 2013;42:1157–1163.
7. Tas F, Sen F, Odabas H, et al. Performance status of patients is the major prognostic factor at all stages of pancreatic cancer. *Int J Clin Oncol* 2013;18:839–846.
8. Ma J, Siegel R, Jemal A. Pancreatic cancer death rates by race among US men and women, 1970–2009. *J Natl Cancer Inst* 2013;105:1694–1700.
9. Sun H, Ma H, Hong G, et al. Survival improvement in patients with pancreatic cancer by decade: a period analysis of the SEER database, 1981–2010. *Sci Rep* 2014;4:6747.
10. Sirri E, Castro FA, Kieschke J, et al. Recent trends in survival of patients with pancreatic cancer in Germany and the United States. *Pancreas* 2016;45:908–914.
11. Hankey BF, Ries LA, Edwards BK. The Surveillance, Epidemiology, and End Results program: a national resource. *Cancer Epidemiol Biomarkers Prev* 1999;8:1117–1121.
12. Koepsell TD. *Epidemiologic Methods: Studying the Occurrence of Illness*. New York, NY: Oxford University Press; 2003.
13. Sgouros J, Maraveyas A. Excess premature (3-month) mortality in advanced pancreatic cancer could be related to fatal vascular thromboembolic events. A hypothesis based on a systematic review of phase III chemotherapy studies in advanced pancreatic cancer. *Acta Oncol* 2008;47:337–346.
14. Hankinson TC, Fields EC, Torok MR, et al. Limited utility despite accuracy of the national SEER dataset for the study of craniopharyngioma. *J Neurooncol* 2012;110:271–278.
15. Warren JL, Klabunde CN, Schrag D, et al. Overview of the SEER-Medicare data: content, research applications, and generalizability to the United States elderly population. *Med Care* 2002;40:IV-3–18.



See JNCCN.org for supplemental online content.