

Vascular Invasion and Metastasis is Predictive of Outcome in Barcelona Clinic Liver Cancer Stage C Hepatocellular Carcinoma

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Abstract

Background: Patients with Barcelona Clinic Liver Cancer (BCLC) stage C hepatocellular carcinoma (HCC) have variable long-term outcomes. Better delineation of prognosis is important for clinical trial enrollment and clinical practice in an era of precision medicine. We hypothesized that stratification of patients with BCLC stage C HCC by presence of vascular invasion and/or metastasis improves prognostic discrimination. **Methods:** Using a prospectively maintained database, we identified 234 patients diagnosed with BCLC stage C HCC between 2005 and 2015. Patients were stratified into 3 groups based on tumor characteristics: (1) vascular invasion alone, (2) metastasis alone, and (3) vascular invasion and metastasis. Overall survival (OS) was compared using a Cox model. A subgroup analysis was performed based on extent of vascular invasion and site of metastasis. **Results:** The cohort comprised 123 patients (53%) with vascular invasion alone, 34 (15%) with metastasis alone, and 77 (33%) with both vascular invasion and metastasis. Median survival was 5.7, 3.9, and 3.0 months, respectively ($P < .01$). Patients with vascular invasion or metastasis alone had significantly better survival compared with those with vascular invasion and metastasis (adjusted hazard ratio [HR], 0.68; 95% CI, 0.49–0.94, and HR, 0.61; 95% CI, 0.39–0.96, respectively). Compared with tumoral invasion of branch portal veins, involvement of the main portal vein was associated with worse survival (HR, 2.13; 95% CI, 1.29–3.49). OS did not differ by site of metastasis. **Conclusions:** Stratification of patients within the BCLC stage C staging subgroup by vascular invasion and presence of metastasis further discriminates patient prognosis. This substratification may have implications for therapy and more accurate prognostic features.

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Background

The incidence and mortality of hepatocellular carcinoma (HCC) have increased 2-fold during the past 2 decades, and it is currently the fastest growing cause of cancer-related death in the United States.¹ The 5-year survival rate for HCC remains below 20% in the United States.² Poor HCC outcomes are largely attributed to low rates of HCC surveillance and high rates of late stage diagnosis.^{3,4}

Accurate HCC staging is important for patient prognostication, treatment delivery, and standardiza-

tion of inclusion criteria for patients enrolled in clinical trials. Although there is no universally accepted staging system, the Barcelona Clinic Liver Cancer (BCLC) staging system has been adopted by both the American Association for the Study of Liver Diseases (AASLD) and the European Association for the Study of the Liver.^{5,6} The BCLC classification stratifies patients according to tumor stage, liver function, and ECOG performance status (PS) into 4 categories (0 and A, early; B, intermediate; C, advanced; D, end-stage).^{7,8} The BCLC

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classification has been externally validated in Western and Asian populations and demonstrates superior prognostic discrimination compared with other HCC staging systems.^{9,10}

Although prognosis and treatment are fairly uniform at the ends of the BCLC classification (BCLC stages A and D), heterogeneity exists within BCLC stages B and C due to variable tumor characteristics and degrees of liver dysfunction.^{11,12} In addition, median survival for patients with BCLC stage C HCC ranges from 3 to 10 months, further underscoring the nonhomogenous nature of this group.¹⁰⁻¹⁴ In the era of precision medicine, this large variability in survival can serve as a source of consternation for patients with newly diagnosed HCC. However, in the absence of routine biopsies for HCC, we are forced to depend on readily available clinical characteristics for improved prognostication.

This study explored whether patients with BCLC stage C HCC could be further stratified by tumor characteristics to improve HCC prognostication and facilitate appropriate treatment decisions.

Methods

Study Population

Using a prospectively maintained database of patients with HCC seen at Parkland Health & Hospital System (PHHS) and the University of Texas Southwestern (UTSW) Medical Center, we identified patients diagnosed with BCLC stage C HCC between January 2005 and June 2015. PHHS is an integrated safety-net health system and UTSW is an NCI-designated tertiary care referral center. One multidisciplinary team attends to the care of patients with HCC at both institutions, as described elsewhere.¹⁵

The diagnosis of HCC was based on AASLD criteria,⁵ and all cases were adjudicated by one of two authors (A.G.S. or A.C.Y.). Patients were classified as BCLC stage C based on the presence of vascular invasion or metastasis, including spread to lymph nodes and/or distant organs. Vascular invasion was distinguished from bland thrombus by the presence of arterial enhancement, portal venous expansion, or development of new thrombus directly contiguous with the tumor. Metastatic lymph nodes were diagnosed by histologic confirmation or, predominantly, by radiographic evidence of enlarged nodes (>1.5 cm) outside of the periportal region, enlarged nodes (>3 cm)

within the periportal region, or enlarging lymph nodes on serial imaging. Metastases to distant organs was diagnosed by histologic confirmation in most cases but could also be diagnosed by radiographic evidence of an enhancing mass >1.0 cm in distant organs. Patients with Child-Pugh class C cirrhosis or ECOG PS >2 were classified as BCLC stage D and excluded from this study. This study was approved by the Institutional Review Boards at both institutions.

Data Collection

Patient demographic data, clinical history, and long-term follow-up data were abstracted from patients' electronic medical records, as described elsewhere.¹⁶ Relevant medical history, baseline biochemical laboratory results, tumor characteristics at presentation, and first course of treatment were collected. Model for End-Stage Liver Disease (MELD) and albumin-bilirubin (ALBI) scores were calculated.^{17,18} An infiltrative pattern was defined as an ill-defined diffuse liver mass on a background of cirrhosis. Metastasis comprised nodal spread or spread to distant organs. Vascular invasion was divided into 4 categories: branch portal vein, left/right or main portal trunk, hepatic vein, and a combination of portal and hepatic vein involvement. Treatment variables included surgical resection, local ablative therapies, transarterial chemoembolization (TACE), transarterial radioembolization (TARE), and systemic therapy, including sorafenib or investigational therapies as part of a clinical trial. We differentiated treatment preceding and following 2008 to reflect introduction of sorafenib for the treatment of advanced HCC. Treatment decisions for all patients were based on discussions and consensus recommendations at a multidisciplinary liver tumor conference, as described elsewhere.¹⁵

Statistical Analysis

We divided patients into 3 categories: (1) vascular invasion alone, (2) metastasis alone, and (3) both vascular invasion and metastasis. We compared baseline patient, tumor, and treatment variables using analysis of variance and chi-square test for continuous and categorical variables, respectively. We constructed Kaplan-Meier curves and compared overall survival (OS) using the log-rank test. We used Cox proportional hazard regression model to evaluate adjusted differences in survival. Clinically relevant covariates

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Table 1. Baseline Patient and Tumor Characteristics

	Overall, n (%) (N=234)	Vascular Invasion Alone, n (%) (N=123)	Metastasis Alone, n (%) (N=34)	Vascular Invasion and Metastasis, n (%) (N=77)	P Value
Sex					.24
Female	44 (19)	28 (23)	4 (12)	12 (16)	
Male	190 (81)	95 (77)	30 (88)	65 (84)	
Median age (IQR)	58 (53–62)	57 (53–62)	60 (53–63)	57 (54–61)	.73
Median BMI (IQR)	26 (22–30)	25 (22–29)	30 (26–32)	26 (23–30)	.03
Race/Ethnicity					.02
White	60 (26)	33 (28)	7 (21)	20 (26)	
Black	91 (39)	46 (38)	10 (29)	35 (45)	
Hispanic	59 (26)	25 (21)	16 (47)	18 (23)	
Asian	21 (9)	16 (13)	1 (3)	4 (5)	
ECOG					.33
0	105 (45)	62 (50)	16 (47)	27 (35)	
1	108 (46)	51 (41)	15 (44)	42 (55)	
2	21 (9)	10 (8)	3 (9)	8 (10)	
Etiology of chronic liver disease					.48
Alcohol	33 (14)	14 (11)	5 (15)	14 (18)	
Hepatitis B	19 (8)	14 (11)	0	5 (6)	
Hepatitis C	154 (66)	81 (66)	24 (71)	49 (64)	
NASH	10 (4)	5 (4)	1 (3)	4 (5)	
Other	18 (8)	9 (7)	4 (12)	5 (6)	
Symptoms at diagnosis	193 (82)	95 (77)	31 (91)	67 (87)	.07
Median serum laboratory values (IQR)					
Total bilirubin (mg/dL)	1.2 (0.8–2)	1.3 (0.7–2.2)	1.1 (0.6–2)	1.2 (0.9–1.8)	.45
Albumin (g/dL)	3.2 (2.9–3.6)	3.2 (2.9–3.6)	3.1 (2.9–3.9)	3.2 (3–3.5)	.68
Platelets (k/ml)	166 (110–250)	148 (106–222)	171 (115–246)	199 (118–293)	.03
AST (U/L)	115 (75–192)	116 (73–168)	105 (56–226)	120 (85–197)	.27
ALT (U/L)	54 (34–90)	64 (35–101)	59 (32–97)	47 (32–74)	.20
INR	1.2 (1.1–1.4)	1.2 (1.1–1.3)	1.2 (1.1–1.3)	1.2 (1.1–1.4)	.37
AFP (ng/mL)	1,902 (108–19,228)	2,179 (114–15,245)	169 (26–2,780)	5,817 (286–33,417)	.01
Median MELD (IQR)	11 (8–13)	10 (8–14)	11 (8–13)	11 (8–12)	.96
ALBI grade					.13
1	26 (11)	17 (14)	6 (18)	3 (4)	
2	152 (65)	75 (61)	21 (62)	56 (73)	
3	56 (24)	31 (25)	7 (21)	18 (23)	
Child-Pugh class					.22
A	95 (41)	45 (37)	18 (53)	32 (42)	
B	139 (59)	78 (63)	16 (47)	45 (58)	
HCC nodules					<.01
1	63 (27)	28 (23)	13 (38)	22 (29)	
≥2	53 (23)	30 (24)	13 (38)	10 (13)	
Infiltrative	118 (50)	65 (53)	8 (24)	45 (58)	
Metastasis					.11
Lymph node alone	39 (35)	–	10 (29)	29 (38)	
Lung alone	19 (17)	–	4 (12)	15 (20)	
Bone alone	21 (19)	–	13 (38)	8 (10)	
Other	5 (5)	–	1 (3)	4 (5)	
Multiple sites	27 (27)	–	6 (18)	21 (27)	
Vascular invasion					.43
Branch portal vein	25 (13)	16 (13)	–	9 (12)	
Main portal vein	143 (72)	91 (75)	–	52 (68)	
Hepatic vein	10 (5)	6 (5)	–	4 (5)	
Hepatic and portal vein	22 (11)	10 (8)	–	12 (16)	

Abbreviations: AFP, alpha-fetoprotein; ALBI, albumin–bilirubin; ALT, alanine transaminase; AST, aspartate transaminase; BMI, body mass index; HCC, hepatocellular carcinoma; INR, international normalized ratio; IQR, interquartile ratio; MELD, Model for End-Stage Liver Disease; NASH, nonalcoholic steatohepatitis.

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Table 2. Treatment Characteristics

	Overall, n (%) (N=234)	Vascular Invasion Alone, n (%) (N=123)	Metastasis Alone, n (%) (N=34)	Vascular Invasion and Metastasis, n (%) (N=77)	P Value
Systemic chemotherapy					.12
2005–2008	7 (3)	4 (3)	3 (9)	0	
2009–2015	71 (31)	33 (28)	11 (33)	27 (36)	
Sorafenib	74 (33)	35 (30)	13 (39)	26 (35)	.60
Transarterial chemoembolization	14 (6)	9 (8)	5 (15)	0	<.01
Yttrium-90 radioembolization	4 (2)	4 (3)	0	0	.21
Radiation (to liver)	3 (1)	3 (3)	0	0	.40
Radiation (to metastasis)	8 (4)	0	6 (18)	2 (3)	<.01
Any treatment	99 (44)	52 (44)	19 (56)	28 (37)	.18

(ECOG PS, Child-Pugh class, and treatment) and those with $P \leq .2$ on univariate Wald test were evaluated in the multivariable model. The final model was constructed using a forward selection procedure. The proportional hazard assumption was evaluated and deemed reasonable based on graphic examination of scaled Schoenfeld residuals. We performed subgroup analyses to evaluate survival by site of metastasis and degree of vascular invasion. All tests were 2-sided and performed at a 5% significance level. Statistical analysis was performed using STATA 14 (StataCorp LP, College Station, TX).

Results

Baseline Characteristics

Of 234 patients with BCLC stage C HCC at initial presentation, 123 (53%) had vascular invasion alone, 34 (15%) had metastasis alone, and 77 (33%) had both vascular invasion and metastatic disease. Patient age ($P = .73$) and sex ($P = .24$) were similar among all groups (Table 1). Patients in the metastasis alone group were more likely to be Hispanic, whereas those with vascular invasion, with or without concomitant metastatic disease, were more likely to be black ($P = .02$). Hepatitis C was the most common cause of chronic liver disease. Laboratory data were notable for higher alpha fetoprotein levels among those with vascular invasion, with or without metastasis, compared with patients with metastasis alone ($P = .01$). There was no difference in liver function, which was estimated using MELD score, ALBI grade, or Child-Pugh class, among the groups. Patients with metastatic disease alone were more likely

to have unifocal liver tumors. Infiltrative HCC was more prevalent in patients with vascular invasion with (58%) or without (53%) metastasis compared with metastasis alone (24%) ($P < .01$).

Receipt of any treatment did not significantly differ among the 3 groups ($P = .18$) (Table 2). Reasons for no treatment included clinical deterioration before initiating treatment (31%), poor liver function (29%), and patient refusal (12%). Treatment with sorafenib was the most common treatment, ranging between 30% and 39% ($P = .60$). In each group, 10% to 15% of patients received locoregional therapy, namely TACE, yttrium-90 (^{90}Y) radioembolization (TARE), or stereotactic body radiation therapy. These therapies were offered more commonly to patients with vascular invasion alone or metastasis alone than to patients with vascular invasion and metastasis (14% and 15% vs 0%, respectively; $P < .01$). Patients with branch portal venous thrombus were more likely than those with main portal venous thrombus to receive either TACE or TARE (16% vs 5%; $P = .04$).

Overall Survival

During a median follow-up of 3.0 months (average, 5.2 months), a total of 203 patients (87%) died. Overall median survival was 3.7 months and survival rates at 6, 12, and 24 months were 32%, 12%, and 5%, respectively. Patients with both vascular invasion and metastasis had worse survival than those with vascular invasion alone and metastasis alone (median survival, 3.0 vs 3.9 and 5.7 months, respectively; $P < .01$; Figure 1). Table 3 details median OS according to Child-Pugh class. On multivari-

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Table 3. Median Overall Survival According to Child-Pugh Class

	Child-Pugh Class A (95% CI)	Child-Pugh Class B (95% CI)
BCLC stage C group		
Vascular invasion alone	6.7 (5.2–10.3)	2.5 (1.9–2.8)
Metastasis alone	7.5 (5.6–9.3)	3.8 (1.0–5.7)
Vascular invasion and metastasis	3.8 (2.8–6.1)	1.9 (1.3–3.0)

Abbreviation: BCLC, Barcelona Clinic Liver Cancer.

able analysis, patients with both vascular invasion and metastasis had an adjusted hazard ratio (HR) of 1.46 (95% CI, 1.06–2.02) compared with those with vascular invasion alone, and an HR of 1.65 (95% CI, 1.04–2.59) compared with those with metastasis alone (Table 4). Patients with metastasis alone had similar OS as those with vascular invasion alone (HR, 0.89; 95% CI, 0.56–1.38).

Vascular Invasion Subgroup

Among patients with vascular invasion, with and without concomitant metastatic disease, most presented with portal vein invasion: 25 patients (13%) had branch portal vein invasion and 143 (72%) had main portal vein invasion. The remaining 16% presented with hepatic vein invasion alone (5%) or a combination of portal vein and hepatic vein involvement (11%) (Table 1). Patients with branch portal vein involvement were most likely to receive treatment, whereas those with both hepatic vein and portal vein invasion were least likely to receive treatment (50% vs 14%; $P=.05$). Patients with main portal vein invasion had worse survival compared with those with branch portal vein invasion (median survival, 3.2 vs 4.7 months; HR, 2.13; 95% CI, 1.29–3.49; Figure 2 and Table 5), although median OS was shortest in patients with both portal vein and hepatic vein invasion (2.6 months).

Metastasis Subgroup

More than half of patients with metastasis, irrespective of tumoral vascular invasion, had lymph node involvement (54%), and this was the only site of metastasis in 35% of patients (Table 1). The most common site of metastasis in the metastasis alone group was bone (38%). In the group with vascular

invasion and metastasis, lymph node alone (38%) and disseminated metastases (27%) were the most common sites. The site of metastasis was associated with differences in OS (Table 5). Patients with bone metastasis alone had better survival compared with metastases to other sites (median survival, 4.2 vs 3.0 months; $P=.03$). Differences in survival by site of metastases dissipated, however, after adjustment for liver function, intrahepatic HCC burden, and treatment variables (Table 5).

Discussion

Overall prognosis and treatment decision-making in patients with HCC are incumbent on appropriate patient stratification. Although BCLC-based stratification adequately differentiates patients with favorable prognosis (BCLC stage A) from those with terminal disease (BCLC stage D), most patients with HCC occupy the middle of the spectrum (BCLC stages B and C), where treatment and overall prognosis remain less well defined.^{7,8,11} In this study, we showed that presentation with both vascular invasion and metastatic disease was associated with significantly worse survival than vascular invasion or metastasis alone. Our findings suggest that variability in prognosis among patients with BCLC stage C HCC is accounted for, at least partly, by tumoral vascular invasion and metastasis-based groupings.

Treatment algorithms based on BCLC staging do not distinguish between patients with different vascular invasion patterns, and sorafenib is recommended for patients with any degree of vascular invasion.^{5,6}

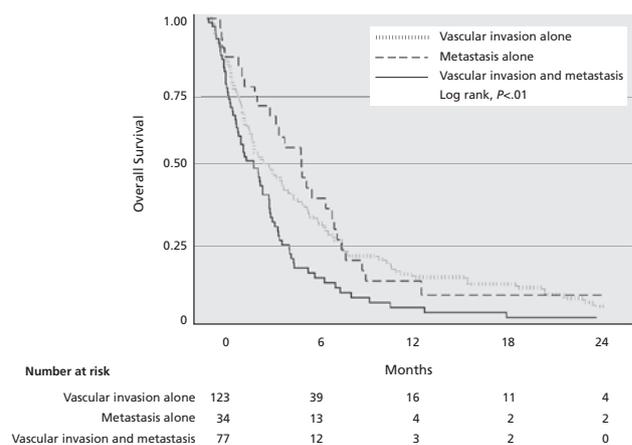
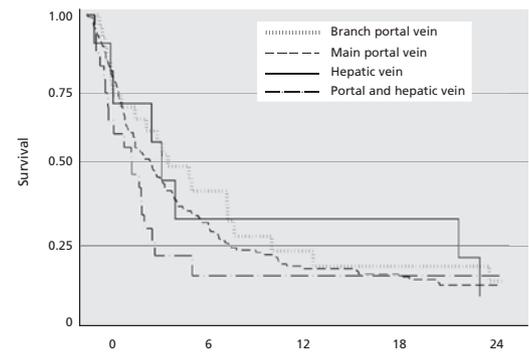


Figure 1. Overall survival by Barcelona Clinic Liver Cancer stage subgroup.

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Our findings and data from other studies similarly observed a more favorable prognosis when tumoral involvement was limited to small caliber downstream branches of the portal system.^{19–22} In addition to having a better prognosis, select patients with branch tumor thrombus may be successfully treated with curative therapies, such as surgical resection, or locoregional therapies, such as TACE or TARE.^{14,23,24} It is becoming increasingly clear that all patients with vascular invasion do not constitute a homogenous group, and that treatment decisions should be tailored based on the degree of vascular involvement.

In contrast, we did not find any differences in survival according to site of metastasis. After accounting for liver function and intrahepatic tumor characteristics, differences in survival dissipated. Although some studies have reported improved survival in patients with metastasis to lymph nodes compared with distant organs,^{19,25} several other cohorts, including those in our study, did not demonstrate a difference.²⁶ Our finding conforms to the notion that prognosis in patients with metastatic HCC is largely driven by the intrahepatic lesion character-



Number at risk	Months				
	0	6	12	18	24
Branch portal vein	25	10	3	2	1
Main portal vein	143	37	13	8	2
Hepatic vein	10	2	2	2	0
Portal and hepatic vein	22	2	1	1	1

Figure 2. Overall survival by degree of vascular invasion.

istics and liver function, with most patients with metastatic HCC dying of progression of intrahepatic disease and/or liver failure.^{27–30} This finding has important ramifications for treatment, because current guidelines recommend systemic therapy including sorafenib for metastatic disease. Locoregional treatment of the intrahepatic tumor burden combined with systemic therapy may be more efficacious. This more aggressive approach has been advocated by the Hong Kong Liver Cancer (HKLC) staging system in patients primarily with hepatitis B virus (HBV)–related HCC.²¹ The HKLC system, however, has not been validated in patients with non–HBV-related HCC. Furthermore, more aggressive locoregional therapies, including TACE or TARE, have not been compared with sorafenib alone or in conjunction with sorafenib in patients with BCLC stage C HCC. Currently, multiple clinical trials are underway comparing locoregional therapies including TARE with sorafenib in the treatment of patients with BCLC stage C HCC with malignant venous thrombus and without evidence of extrahepatic disease (ClinicalTrials.gov identifiers: NCT01482442 and NCT00712790). The results of these trials will allow further delineation of appropriate treatments for BCLC stage C HCC based on more discriminatory clinicopathologic factors instead of a “one size fits all” treatment approach.

Finally, we found that patients with a combination of vascular invasion and metastasis had significantly worse survival than those with either vascular invasion or metastasis alone. A similar finding was

Table 4. Predictors of Overall Survival				
	Adjusted HR	P Value	95% CI	Median OS (95% CI)
BCLC stage C group				
Vascular invasion alone	Ref			3.9 (2.7–5.2)
Metastasis alone	0.89	.60	0.56–1.38	5.7 (3.8–7.6)
Vascular invasion and metastasis	1.46	.02	1.06–2.02	3.0 (1.9–3.7)
Cancer-related symptoms				
Absent	Ref			7.1 (3.4–11.6)
Present	2.0	<.01	1.30–3.04	3.2 (2.7–3.9)
Child-Pugh class				
A	Ref			6.0 (5.0–7.3)
B	1.72	<.01	1.27–2.34	2.2 (2.0–2.8)
Treatment				
No	Ref			2.0 (1.6–2.3)
Yes	0.32	<.01	0.24–0.44	6.1 (5.2–7.3)

Abbreviations: BCLC, Barcelona Clinic Liver Cancer; HR, hazard ratio; OS, overall survival.

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noted in an Asian cohort in which patients with main portal vein invasion and distant metastasis had worse survival compared with patients with either tumor characteristic alone (2.3 vs 4.9–5.7 months).¹⁹ The extremely poor prognosis in this subgroup of patients mirrors that of patients with BCLC stage D HCC and raises the question of whether any benefit is derived from locoregional or systemic therapy. Even in the subgroup of patients with Child-Pugh A cirrhosis and ECOG PS of 0 or 1 the median survival was 3.8 months. In the SHARP trial, a subgroup analysis of patients with vascular invasion and/or metastatic disease derived benefit from sorafenib; however, an analysis was not performed among those with both characteristics simultaneously.³¹ It is possible that these patients may be best treated with best supportive care, because further data are needed to evaluate the effectiveness and cost-effectiveness of therapy in this subgroup.

Notably, OS in this cohort of patients with BCLC stage C HCC was worse than what would have been expected based on findings from the SHARP trial. Still, it is unlikely that OS in this cohort was an outlier. Rather, it represents the reality of prognosis in patients with BCLC stage C HCC in real-life practice outside the “ideal” settings of a clinical trial. Unlike the SHARP trial, nearly 60% of our patients had Child-Pugh class B cirrhosis and 82% were symptomatic at the time of diagnosis.

Findings from this study were interpreted in light of the following limitations. First, unmeasured potential confounders not accounted for in the regression models secondary to the nonrandomized study design may have biased the comparisons of OS. Second, inferences related to OS based on the site of metastasis were prone to type II error (failing to demonstrate a difference when one truly exists) due to a small sample size. Although the goal of our study was to move toward more personalized prognostication for patients with BCLC stage C HCC, our ability to

Table 5 . Predictors of Survival in Subsets of Patients With Vascular Invasion or Metastatic Disease

	Hazard Ratio ^a (95% CI)	Median Survival (95% CI)
Vascular invasion		
Branch portal vein	Ref	4.7 (1.5–8.1)
Main portal vein	2.13 (1.29–3.49)	3.3 (2.5–4.2)
Hepatic vein	2.14 (0.89–5.15)	4.3 (0.4–21.5)
Hepatic and portal vein	1.99 (1.04–3.80)	2.6 (1.0–3.2)
Metastases		
Lymph node alone	Ref	3.9 (2.0–5.0)
Lung alone	1.15 (0.64–2.07)	2.8 (1.2–5.2)
Bone alone	0.74 (0.38–1.43)	4.2 (3.2–9.3)
Other	1.22 (0.46–3.26)	1.7 (0.07–ND)
Multiple sites	0.64 (0.36–1.15)	3.1 (1.5–3.8)

Abbreviation: ND, not defined.

^aAdjusted hazard ratio based on the final Cox proportional hazard regression model that included site of metastasis, Child-Pugh class, presence of metastasis, and treatment.

do so is limited by absence of tumor genetic and pathology information given the lack of HCC biopsies in clinical practice.

Conclusions

Stratification of patients with BCLC stage C HCC by tumoral vascular invasion and metastasis further delineates patient prognosis. Patients with vascular invasion and concomitant metastasis have the worst survival, whereas patients with limited branch portal vein involvement have a distinctly favorable prognosis. Moving forward, these data should be used for determining prognosis and making treatment decisions for patients with BCLC stage C HCC and for stratifying patient selection in future clinical trials.

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